

# **Oracle Banking Digital Experience**

**Corporate File Upload User Manual  
Release 18.1.0.0.0**

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Corporate File Upload User Manual  
January 2018

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# 1. Preface

## 1.1 Intended Audience

This document is intended for the following audience:

- Customers
- Partners

## 1.2 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

## 1.3 Access to Oracle Support

Oracle customers have access to electronic support through My Oracle Support. For information, visit

<http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit

<http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Structure

This manual is organized into the following categories:

*Preface* gives information on the intended audience. It also describes the overall structure of the User Manual.

*Introduction* provides brief information on the overall functionality covered in the User Manual.

The subsequent chapters provide information on transactions covered in the User Manual.

Each transaction is explained in the following manner:

- Introduction to the transaction
- Screenshots of the transaction
- The images of screens used in this user manual are for illustrative purpose only, to provide improved understanding of the functionality; actual screens that appear in the application may vary based on selected browser, theme, and mobile devices.
- Procedure containing steps to complete the transaction- The mandatory and conditional fields of the transaction are explained in the procedure.

If a transaction contains multiple procedures, each procedure is explained. If some functionality is present in many transactions, this functionality is explained separately.

## 1.5 Related Information Sources

For more information on Oracle Banking Digital Experience Release 18.1.0.0.0, refer to the following documents:

- Oracle Banking Digital Experience Licensing Guide
- Oracle Banking Digital Experience Installation Manuals

## 2. Transaction Host Integration Matrix

### Legends

NH	No Host Interface Required.
✓	Pre integrated Host interface available.
×	Pre integrated Host interface not available.

Sr No.	Transaction Name / Function Name	FCR 11.7.0.0.0	UBS 12.3.0.0.0	UBS 12.4.0.0.0	UBS 14.0.0.0.0	UBS 14.0.0.0.0 (OBPM)
1	<b>Transaction Types</b>					
	Internal Funds Transfer	✓	✓	✓	✓	×
	Domestic Funds Transfer - NEFT	✓	✓	✓	✓	×
	Domestic Funds Transfer - RTGS	✓	✓	✓	✓	
	Domestic Funds Transfer - IMPS	✓	×	×	×	×
	International Funds Transfer	×	✓	✓	✓	×
	Mixed Transfer (Internal and Domestic)	✓	✓	✓	✓	×
	Mixed Transfer (Internal, Domestic and International)	×	✓	✓	✓	×
	Internal Payee*	✓	✓	✓	✓	×
	Domestic Payee*	✓	✓	✓	✓	×
	International Payee*	×	✓	✓	✓	×
	Mixed Payee (Internal and Domestic)*	✓	✓	✓	✓	×

Sr No.	Transaction Name / Function Name	FCR 11.7.0.0.0	UBS 12.3.0.0.0	UBS 12.4.0.0.0	UBS 14.0.0.0.0	UBS 14.0.0.0.0 (OBPM)
	Mixed Payee (Internal, Domestic and International)*	X	✓	✓	✓	X
	Domestic Demand Draft Payee*	✓	✓	✓	✓	X
	International Demand Draft Payee*	X	✓	✓	✓	X
<b>2</b>	<b>Accounting Type</b>					
	Single Debit Single Credit (SDSC)	✓	✓	✓	✓	X
	Single Debit Multiple Credit (SDMC)	✓	✓	✓	✓	X
	Multiple Debit Multiple Credit (MDMC)	✓	✓	✓	✓	X
<b>3</b>	<b>Format Type (csv, xls, xlsx, xml)</b>	NH	NH	NH	NH	NH
<b>4</b>	<b>Approval Type (File Level / Record Level)</b>	NH	NH	NH	NH	NH
<b>5</b>	<b>File Type (Fixed Length / Delimited)</b>	NH	NH	NH	NH	NH
<b>6</b>	<b>File Identifier Maintenance</b>	NH	NH	NH	NH	NH
<b>7</b>	<b>User File Identifier Mapping</b>	NH	NH	NH	NH	NH
<b>8</b>	<b>Upload File - Select FI and upload the file</b>	NH	NH	NH	NH	NH
<b>9</b>	<b>Uploaded Files Inquiry</b>	✓	✓	✓	✓	X
<b>10</b>	<b>File Approval by the approver</b>	NH	NH	NH	NH	NH

<b>Sr No.</b>	<b>Transaction Name / Function Name</b>	<b>FCR 11.7.0.0.0</b>	<b>UBS 12.3.0.0.0</b>	<b>UBS 12.4.0.0.0</b>	<b>UBS 14.0.0.0.0</b>	<b>UBS 14.0.0.0.0 (OBPM)</b>
<b>11</b>	<b>Server to Server Functionality</b>	NH	NH	NH	NH	NH

*\* There is an integration required with the host system to validate some information captured as part of payee details whereas there is no storage of payees in the host.*

### 3. File Upload

File Uploads facilitates processing of multiple transactions through a single file. This is a faster way of processing transactions than entering single screen transactions. Salary payments, fund transfers, vendor payments are few examples of financial transactions that can be supported through file upload. A non-financial transaction to facilitate upload of multiple payee details, at a single instance, is also possible through file upload.

The File Upload functionality enables users to process:

- Internal payments (within the bank)
- Domestic payments (within the country)
- International payments (cross border)
- Mixed payments
- Create Payees / Beneficiaries

Oracle Banking Digital Experience File Upload module enables banks to process files of payments according to agreed operational and business rules and save time. File Uploads facility is simple to use, has daily transaction limits and comes with the security of dual / multi signatory approvals.

- File Upload Set up: Enables the administrator to create file identifiers, and map users to file identifiers.
- File Upload Servicing: Enables the corporate user to upload, view and approve files, (files that the user has access to).

#### **File Upload Set up**

File Upload set up covers the maintenance part of file upload and is performed by an administrator user. As a part of the initial set-up, the administrator user -

- Creates a File Identifier for a File Template using the 'File Identifier' option
- Maps a File Identifier to a user using the 'User File Identifier Mapping' option



### 3.1 File Identifier

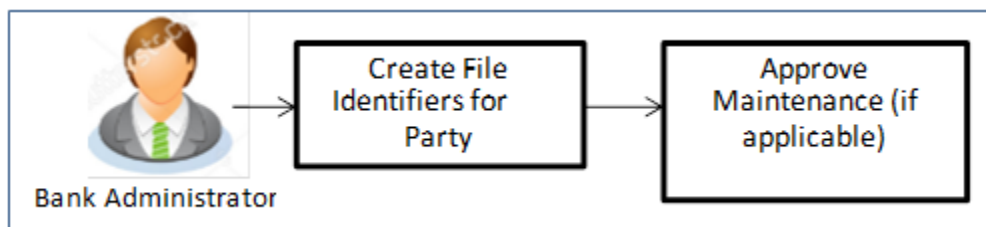
Bank Administrator can create file identifiers (FI) for a party. This enables the corporate to process file uploads. A File Identifier is always mapped to a specific file template. It permits configuration of party preferences like approval type (file level / record level) for a particular type of file.

#### Prerequisites

- Party Preferences for Corporate
- User Creation
- Set up Party Account access
- Set up Transaction Account access
- Set up Approval Rules

#### Features Supported In Application

- Search File Identifier
- Create File Identifier
- Edit File Identifier



#### How to reach here:

*Administrator Dashboard > Toggle Menu > File Upload > File Identifier Maintenance*

## File Identifier Maintenance

### Field Description

Field Name	Description
Party ID	Party ID of the user.
Party Name	Party name corresponding to the party ID.

### 3.1.1 File Identifier Maintenance – Search / View

Once the logged in user navigates to File Identifier Maintenance screen, user can search and view the files that are uploaded under a party with the future date with file type as SDSC(Single Debit Single Credit), SDMC (Single Debit Multiple Credit), and MDMC (Multiple Debit Multiple Credit) and view the record details of the File Identifiers already created.

#### To search and view the file identifiers:

1. In the **Party ID** field, enter the party id of the user.  
OR  
In the **Party Name** field, enter the name of the party.
2. Click **Search**. The **File Identifier Maintenance** screen with search results appears.  
OR  
Click **Clear** to clear the search parameters.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.

## File Identifier Maintenance - Search

File Identifier Maintenance

Current Entity  
Default Business Unit

Party ID: 001164  
Party Name: Exxon Mobil

Create

**File Identifiers**

Sr. no.	File Identifier	Description	Transaction Type	Approval Type
1	FISalary	Domestic Fund Transfer Multiple Credit Multiple Debit	Domestic Funds Transfer	Record
2	MDMCDomABR	MDMC Domestic Adhoc Bene Record Level	Domestic Funds Transfer	Record
3	MDMCINTABR	MDMC Internal Adhoc Bene Record Level	Internal Funds Transfer	Record
4	MixPayee	Mixed Payee Upload	Mixed Payee	Record
5	SDMCINTABF	SDMC Internal Adhoc Bene File Level	Internal Funds Transfer	File
6	SDMCInternationalABF	SDMC International Adhoc Bene File Level	International Funds Transfer	File
7	SDMCMIXABFile	SDMC Mix Adhoc Bene File Level	Mixed Payments	File
8	SDSCINABFileLevel	SDSC Internal Adhoc Bene File Level	Internal Funds Transfer	File
9	SDSCInternalAR	SDSC Internal Adhoc Bene Record Level	Internal Funds Transfer	Record
10	SDSCMixFile	SDSCMixFile	Mixed Payments	File

Cancel Back

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## Field Description

Field Name	Description
<b>Party ID</b>	Party ID of the corporate for whom the file identifier is created.
<b>Party Name</b>	Party name corresponding to the party ID.
<b>File Identifiers</b>	
<b>Sr. No.</b>	Serial number of the record.
<b>File Identifier</b>	Unique code/ name assigned to the party preferences for handling of payment files.
<b>Description</b>	File name / code description corresponding to the file upload code.

Field Name	Description
<b>Transaction Type</b>	Type of transaction: The Transaction types could be: <ul style="list-style-type: none"> <li>• Internal Funds Transfer</li> <li>• Domestic Funds Transfer</li> <li>• International Funds Transfer</li> <li>• Mixed Transfer</li> <li>• Internal Payee</li> <li>• Domestic Payee</li> <li>• International Payee</li> <li>• Mixed Payee</li> <li>• Domestic Demand Draft Payee</li> <li>• International Demand Draft Payee</li> </ul>
<b>Approval Type</b>	The approval type is at file level or record level.

3. Click the file identifier record, for which you want to view the details.  
 The **File Identifier Maintenance- View** screen appears.  
 OR  
 Click **Back** to go back to previous screen.  
 OR  
 Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.

## File Identifier Maintenance - View

**File Identifier Maintenance** Current Entity  
Default Business Unit

**View**

Party Id: 001164  
Party Name: Exxon Mobil

**Details**

File Identifier: FISalary  
Description: Domestic Fund Transfer Multiple Credit Multiple Debit  
File Template: Domestic Funds Transfer Fixed MDMC  
Maximum No Of Records: 1000  
Partial Processing Tolerance(%): 87

Transaction Type: Domestic Funds Transfer  
Accounting Type: Multiple Debit Multiple Credit  
File Type: FIXED  
Format Type: CSV, TXT  
Approval Type:  Record Level  File Level

[Edit](#) [Cancel](#) [Back](#)

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Field Name	Description
------------	-------------

<b>Party ID</b>	The party id searched by the bank administrator.
-----------------	--

<b>Party Name</b>	Party name corresponding to the party ID.
-------------------	---

Details	
---------	--

<b>File Identifier</b>	Unique code/ name assigned to the party preferences for handling of payment files.
------------------------	--

<b>Description</b>	File name / code description corresponding to the file upload code.
--------------------	---

<b>File Template</b>	Predefined file templates. Following file templates are available:
----------------------	---

- Domestic Funds Transfer Delimited SDSC
- Domestic Funds Transfer Fixed SDSC
- Domestic Funds Transfer Delimited MDMC
- Domestic Funds Transfer Fixed MDMC
- Domestic Funds Transfer Delimited SDMC

Field Name	Description
------------	-------------

- Domestic Funds Transfer Fixed SDMC
- Domestic Funds Transfer Delimited SDSC with Account ID defined at File Identifier level
- Internal and Domestic Funds Transfer Delimited SDMC
- Internal and Domestic Funds Transfer Fixed SDMC
- Internal Funds Transfer Delimited SDSC
- Internal Funds Transfer Fixed SDSC
- Internal Funds Transfer Delimited MDMC
- Internal Funds Transfer Fixed MDMC
- Internal Funds Transfer Delimited SDMC
- Internal Funds Transfer Fixed SDMC
- Internal Funds Transfer Delimited SDMC with Account ID defined at File Identifier level
- Internal Funds Transfer Delimited SDSC with Account ID defined at File Identifier level
- International Funds Transfer Delimited SDSC
- International Funds Transfer Fixed SDSC
- International Funds Transfer Delimited MDMC
- International Funds Transfer Fixed MDMC
- International Funds Transfer Delimited SDMC
- International Funds Transfer Fixed SDMC
- Demand Draft Payees
- Domestic Payees
- Internal payees
- International Payees
- Mixed Payees
- Mixed Payments Delimited MDMC
- Mixed Payments Fixed MDMC
- Mixed Payments Delimited SDSC
- Mixed Payments Fixed SDSC

<b>Maximum No of Records</b>	Maximum number of records in the uploaded file.
------------------------------	---

<b>Field Name</b>	<b>Description</b>
<b>Partial Processing Tolerance (%)</b>	<p>The partial processing tolerance for a file (in percentage terms). Suppose, the user sets this value at 60% - this implies that if 60% or more, of the records in a file clear the Validation stage, then the file can go for further processing. If less than 60% of the records in a file clear the validation stage, then the file is rejected.</p> <p>This field will be displayed only when approval is 'Record Type'.</p>
<b>Debit Account Number</b>	<p>Account number of the account to be debited.</p> <p>This field appears if you select <b>Domestic Funds Transfer Delimited SDSC with AcctId defined at FI level</b> or <b>Internal Funds Transfer Delimited SDMC with AcctId defined at FI level</b>, or <b>Internal Funds Transfer Delimited SDSC with AcctId defined at FI level</b> from the <b>File Template</b> list.</p>
<b>Transaction Type</b>	<p>Type of transaction:</p> <p>The Transaction type can be:</p> <ul style="list-style-type: none"> <li>• Internal funds Transfer</li> <li>• Domestic Funds Transfer</li> <li>• International Funds Transfer</li> <li>• Mixed Transfer</li> </ul>
<b>Accounting Type</b>	<p>Accounting type of transaction:</p> <p>The accounting type can be:</p> <ul style="list-style-type: none"> <li>• SDSC: Single Debit Single Credit</li> <li>• SDMC: Single Debit Multi Credit</li> <li>• MDMC: Multi Debit Multi Credit</li> </ul>
<b>File Type</b>	<p>The file type - delimited or fixed length.</p>
<b>Format Type</b>	<p>The format in which file is uploaded.</p> <p>The format could be CSV, XML, XLS, XLSX.</p>
<b>Approval Type</b>	<p>The approval type is at file level or record level.</p> <ul style="list-style-type: none"> <li>• File Level - The approver accepts or rejects the entire file, and all records are either processed or rejected en-masse.</li> <li>• Record Level - The approver could approve some records, and rejects others. Payments are processed only for approved records.</li> </ul>
<b>Maximum No of Records</b>	<p>Maximum number of records in the uploaded file.</p>

4. Click **Edit** to modify the file identifier.  
OR  
Click **Back** to navigate to the previous screen.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.

### 3.1.2 File Identifier Maintenance - Create

Using this option the administrator user can create a new file identifier.

#### To create a file identifier:

1. In the **Party Id** field, enter the party id of the user.  
OR  
In the **Party Name** field, enter the name of the party.
2. Click **Search**. The **File Identifier Maintenance** screen with search results appears.  
OR  
Click **Clear** to clear the search parameters.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
3. Click **Create**. The **File Identifier Maintenance - Create** screen appears.

#### File Identifier Maintenance - Create

The screenshot displays the 'File Identifier Maintenance - Create' interface. At the top, there is a navigation bar with the ZigBank logo, a search icon, a notification icon with '117', and a 'Logout' button. Below the navigation bar, the page title 'File Identifier Maintenance' is shown, along with 'Current Entity' and 'Default Business Unit' dropdown menus. The main content area is divided into two sections: 'Create' and 'Details'. The 'Create' section contains fields for 'Party Id' (001164) and 'Party Name' (Exxon Mobil). The 'Details' section contains fields for 'File Identifier' (FISalary), 'Description' (Domestic Fund Transfer Multiple Credit Multiple Debit), 'File Template' (Domestic Funds Transfer Fixed MDMC), 'Maximum No Of Records' (1000), 'Partial Processing Tolerance(%)' (87), 'Transaction Type' (Domestic Funds Transfer), 'Accounting Type' (Multiple Debit Multiple Credit), 'File Type' (FIXED), and 'Format Type' (CSV, TXT). Under 'Approval Type', there are two buttons: 'Record Level' and 'File Level'. At the bottom of the form, there are three buttons: 'Save', 'Cancel', and 'Back'. A footer at the bottom of the page contains the copyright information: 'Copyright © 2006, 2017, Oracle and/or its affiliates. All rights reserved. | Security Information | Terms and Conditions'.



**Field Description**

<b>Field Name</b>	<b>Description</b>
<b>Party ID</b>	Party ID for whom the file identifier is created.
<b>Party Name</b>	Party name corresponding to the party ID.
<b>Details</b>	
<b>File Identifier</b>	Unique code assigned to the uploaded file.
<b>Description</b>	Descriptions corresponding to the file upload code.
<b>File Template</b>	<p>Predefined file templates.</p> <p>Following file templates are available:</p> <ul style="list-style-type: none"> <li>• Domestic Funds Transfer Delimited SDSC</li> <li>• Domestic Funds Transfer Fixed SDSC</li> <li>• Domestic Funds Transfer Delimited MDMC</li> <li>• Domestic Funds Transfer Fixed MDMC</li> <li>• Domestic Funds Transfer Delimited SDMC</li> <li>• Domestic Funds Transfer Fixed SDMC</li> <li>• Domestic Funds Transfer Delimited SDSC with Account ID defined at File Identifier level</li> <li>• Internal and Domestic Funds Transfer Delimited SDMC</li> <li>• Internal and Domestic Funds Transfer Fixed SDMC</li> <li>• Internal Funds Transfer Delimited SDSC</li> <li>• Internal Funds Transfer Fixed SDSC</li> <li>• Internal Funds Transfer Delimited MDMC</li> <li>• Internal Funds Transfer Fixed MDMC</li> <li>• Internal Funds Transfer Delimited SDMC</li> <li>• Internal Funds Transfer Fixed SDMC</li> <li>• Internal Funds Transfer Delimited SDMC with Account ID defined at File Identifier level</li> <li>• Internal Funds Transfer Delimited SDSC with Account ID defined at File Identifier level</li> <li>• International Funds Transfer Delimited SDSC</li> <li>• International Funds Transfer Fixed SDSC</li> <li>• International Funds Transfer Delimited MDMC</li> <li>• International Funds Transfer Fixed MDMC</li> <li>• International Funds Transfer Delimited SDMC</li> </ul>

Field Name	Description
	<ul style="list-style-type: none"> <li>• International Funds Transfer Fixed SDMC</li> <li>• Demand Draft Payees</li> <li>• Domestic Payees</li> <li>• Internal payees</li> <li>• International Payees</li> <li>• Mixed Payees</li> <li>• Mixed Payments Delimited MDMC</li> <li>• Mixed Payments Fixed MDMC</li> <li>• Mixed Payments Delimited SDSC</li> <li>• Mixed Payments Fixed SDSC</li> </ul>
<b>Maximum No of Records</b>	Maximum number of records in the uploaded file.
<b>Partial Processing Tolerance (%)</b>	<p>The partial processing tolerance for a file (in percentage terms).</p> <p>Suppose, the user sets this value at 60% - this implies that if 60% or more, of the records in a file clear the Validation stage, then the file can go for further processing. If less than 60% of the records in a file clear the validation stage, then the file is rejected.</p> <p><i>This field will be displayed only when approval is Record Type</i></p>
<b>Debit Account Number</b>	<p>Account number of the account to be debited.</p> <p>This field appears if you select <b>Domestic Funds Transfer Delimited SDSC with AcctId defined at FI level</b> or <b>Internal Funds Transfer Delimited SDMC with AcctId defined at FI level</b>, or <b>Internal Funds Transfer Delimited SDSC with AcctId defined at FI level</b> from the <b>File Template</b> list.</p>
<b>Transaction Type</b>	<p>Type of transaction:</p> <p>The Transaction type could be:</p> <ul style="list-style-type: none"> <li>• Internal funds Transfer,</li> <li>• Domestic Funds Transfer,</li> <li>• International Funds Transfer,</li> <li>• Mixed Transfer</li> </ul>

Field Name	Description
<b>Accounting Type</b>	Accounting type of transaction: The accounting type could be: <ul style="list-style-type: none"> <li>• SDSC: Single Debit Single Credit</li> <li>• SDMC: Single Debit Multi Credit</li> <li>• MDMC: Multi Debit Multi Credit</li> </ul>
<b>File Type</b>	The file type – delimited or fixed length.
<b>Format Type</b>	The format in which file is uploaded. The format could be CSV, XML, XLS, XLSX.
<b>Approval Type</b>	The approval type is at file level or record level. <ul style="list-style-type: none"> <li>• File Level - The approver accepts or rejects the entire file, and all records are either processed or rejected en-masse.</li> <li>• Record Level - The approver could approve some records, and reject others. Payments are processed only for approved records.</li> </ul>

4. In the **File Identifier** field, enter the code of the file to be maintained for upload.
5. In the **Description** field, enter the file description corresponding to the file code.
6. From the **File Template** list, select the file template.
  - a. If you **Domestic Funds Transfer Delimited SDSC with AcctId defined at FI level** or **Internal Funds Transfer Delimited SDMC with AcctId defined at FI level**, or **Internal Funds Transfer Delimited SDSC with AcctId defined at FI level** from the **File Template** list, enter the Debit Account Number.
7. Select the appropriate **Approval Type**.
8. Click **Save**.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.  
OR  
Click **Back** to navigate to the previous screen.
9. The **File Identifier Maintenance - Review** screen appears. Verify the details and click **Confirm**.  
OR  
Click **Edit** to make the changes if any.  
User is directed to **File Identifier Maintenance – Create** screen with values in editable form.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
10. The success message appears along with the transaction reference number and status.  
Click **OK** to complete the transaction and navigate back to 'Dashboard'.

### 3.1.3 File Identifier Maintenance – Edit

Using this option the administrator can edit and update a file identifier.

#### To edit file identifier:

1. In the **Party Id** field, enter the party id of the user.  
OR  
In the **Party Name** field, enter the name of the party.
2. Click **Search**. The **File Identifier Maintenance** screen with search results appears.  
OR  
Click **Clear** to clear the search parameters.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
3. Click the file identifier record.  
The **File Identifier Maintenance- View** screen appears.
4. Click **Edit** to edit the file identifier mapping.  
The **File Identifier Maintenance - Edit** screen appears.

## File Identifier Maintenance - Edit

**File Identifier Maintenance** Current Entity  
Default Business Unit

**Edit**

Party Id: 001164  
Party Name: Exxon Mobil

**Details**

File Identifier: FISalary  
Description: Domestic Fund Transfer Multiple Credit Multiple Debit  
File Template: Domestic Funds Transfer Fixed MDMC  
Maximum No Of Records: 1000  
Partial Processing Tolerance(%): 87  
Transaction Type: Domestic Funds Transfer  
Accounting Type: Multiple Debit Multiple Credit  
File Type: FIXED  
Format Type: CSV, TXT  
Approval Type:  Record Level  File Level

**Save** **Cancel** **Back**

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5. Edit the required file identifiers. E.g. Description, Maximum Number of Records, and Partial Processing Tolerance, Debit Account Number – if required.

## User Interface Details

Field Name	Description
<b>Description</b>	Descriptions corresponding to the file upload code.
<b>Maximum No of Records</b>	Maximum Number of records in the uploaded file.
<b>Partial Processing Tolerance (%)</b>	The partial processing tolerance for a file (in percentage terms) Suppose, the user sets this value at 60% - this implies that if 60% or more, of the records in a file clear the Validation stage, then the file can go for further processing. If less than 60% of the records in a file clear the validation stage, then the file is rejected.

6. Click **Save** to save the modified details.  
OR  
Click **Back** to navigate to previous screen.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
7. The **File Identifier Maintenance – Edit - Review** screen appears. Verify the details, and click **Confirm**.  
OR  
Click **Edit** to make the changes if any.  
User is directed to **File Identifier Maintenance – Edit** screen with values in editable form.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
8. The success message appears along with the transaction reference number and status.  
Click **OK** to complete the transaction and navigate back to 'Dashboard'.

## FAQs

### 1. What are the different transaction types, accounting types and file formats supported?

The following table details the different transaction types, accounting types and file formats supported. A file identifier with a specific template is a combination of the parameters below:

Sr No.	Parameter	Types
1	Transaction Type	Internal funds Transfer Domestic Funds Transfer International Funds Transfer Mixed Transfer Payee Files (Internal / Domestic / International Or Mixed Payee Files)
2	Accounting Type	Single Debit, Single Credit (SDSC) Single Debit, Multi Credit (SDMC) Multi Debit, Multi Credit (MDMC)
3	Approval Type	File Level Record Level
4	Format Type	CSV, XML, XLS, XLSX

### 2. Why do we have different accounting types?

File templates support different accounting types, and business users can use the template that best support their needs. Details with business examples below:

Sr No.	Accounting Type	Business Example	Approval Type
1	Single Debit, Single Credit (SDSC)	A corporate may want to make monthly payments to its various vendors from one central account. So though the debit account is the same across all records, the credit accounts are different and the debit accounting entry is not consolidated.	Record Type or File Type
2	Single Debit, Multi Credit (SDMC)	A corporate may want to make monthly salary payments to all its employees. A single consolidated debit entry is required to be passed, against multiple credits to different accounts.	File Type
3	Multi Debit, Multi Credit (MDMC)	This file format caters to a lot of one to one transfers between two parties for various payments. Organizations that are brokers or intermediaries in trade contracts, may find this format more suitable for their business needs.	Record Type

3. **What is the business rationale of having different approval types?**

A record type approval, gives more flexibility to the corporate user – in the sense, that even if some records are not validated, the file could still be processed (only those records that have passed validations) and file type approval, gives more control to the corporate user. Since all records in the file have to pass validations, before a file is processed.

4. **Who can create File Identifiers for a corporate party?**

Only a bank administrator or a corporate administrator with required rights can create file identifiers for a corporate party.

5. **What is a 'File Upload Template'?**

A template that is used to upload a file is called as file template. It distinguishes one file from another depending on:

- a) Transaction type of the file
- b) Format of the file to be uploaded
- c) Approval type set-up for the file
- d) Accounting type of a payment file



## 3.2 User File Identifier Mapping

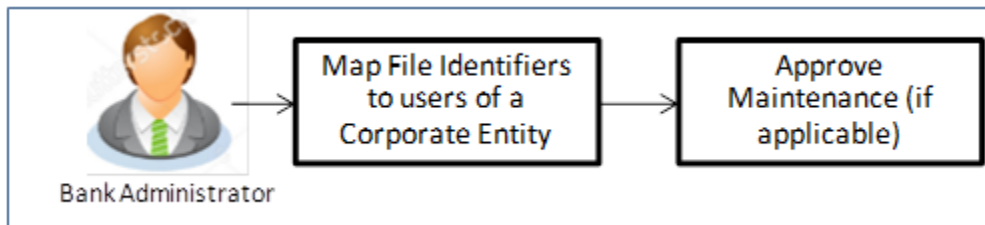
This function can be used by the administrator to map the required file identifier to a specific user or a set of users so that the user can upload and view the required file types.

### Prerequisites

- Party Preferences for Corporate
- User Creation
- Party and Account access
- Set up Transaction and account access
- Set up Approval Rules
- File Identifier Maintenance

### Features Supported In Application

- Search User File Identifier Mapping
- Create User File Identifier Mapping
- Edit User File Identifier Mapping



### How to reach here:

*Administrator Dashboard > Toggle Menu > File Upload > User File Identifier Mapping*

## User File Identifier Mapping

The screenshot shows the 'User File Identifier Mapping' page in the ZigBank application. The page has a dark blue header with the ZigBank logo and navigation icons. Below the header, the page title 'User File Identifier Mapping' is displayed on the left, and 'Current Entity: Default Business Unit' is shown on the right. The main content area contains two input fields: 'Party ID' and 'Party Name'. Below these fields are three buttons: 'Search' (green), 'Cancel' (grey), and 'Clear' (grey). At the bottom of the page, there is a dark blue footer with copyright information: 'Copyright © 2006, 2017, Oracle and/or its affiliates. All rights reserved. | Security Information | Terms and Conditions'.

### Field Description

Field Name	Description
Party ID	Party ID of the user.
Party Name	Party name corresponding to the party ID.

### 3.2.1 User File Identifier Mapping - Search

Using this option the administrator can search and view the file identifiers mapped to the user.

#### To search and view the file identifiers:

1. In the **Party Id** field, enter the party id of the user.  
OR  
In the **Party Name** field, enter the name of the party.
2. Click **Search**. The **User File Identifier Mapping** screen with search results appears.  
OR  
Click **Clear** to clear the search parameters.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.

## User File Identifier Mapping - Search

The screenshot shows the 'User File Identifier Mapping' search results in the ZigBank system. The interface includes a header with the ZigBank logo, a search icon, a notification badge with '117', and a 'Logout' button. The main content area displays the search results for Party ID 001164 and Party Name Exxon Mobil. Below this, a 'Users List' table is shown with columns for Initials, User Details, Contact Details, and Mapping. The table contains seven rows of user data, each with a corresponding mapping status icon (a green checkmark for mapped users and a gear icon for unmapped users). At the bottom of the table, there are 'Cancel' and 'Back' buttons. The footer of the page contains copyright information: 'Copyright © 2006, 2017, Oracle and/or its affiliates. All rights reserved. | Security Information | Terms and Conditions'.

Initials	User Details	Contact Details	Mapping
CU	Corp User1 rkcorpuser1	John@example.com 5550009100	✓
AA	Auto Auth rkautocorp	Smith@example.com 5550009101	✓
RK	Rahul Kamble rkcorpadmin	dummy@example.com 5550009109	✓
RK	Rahul Kamble rkcorpadmin1	dummy1@example.com 1122334466	⚙️
RA	RK Auto corpauto1	abc@example.com 5600090000	✓
BA	BU Auto BUAuto	dummyabc@example.com 5550009000	✓
RK	rahul kr user_rahul_1111	dummyxyz@example.com 2222222222	⚙️

## Field Description

Field Name	Description
------------	-------------



<b>Users List</b>	
-------------------	--


<b>Initials</b>	The initials of the user.
-----------------	---------------------------

<b>User Details</b>	The details of the user like user name or user id.
---------------------	--

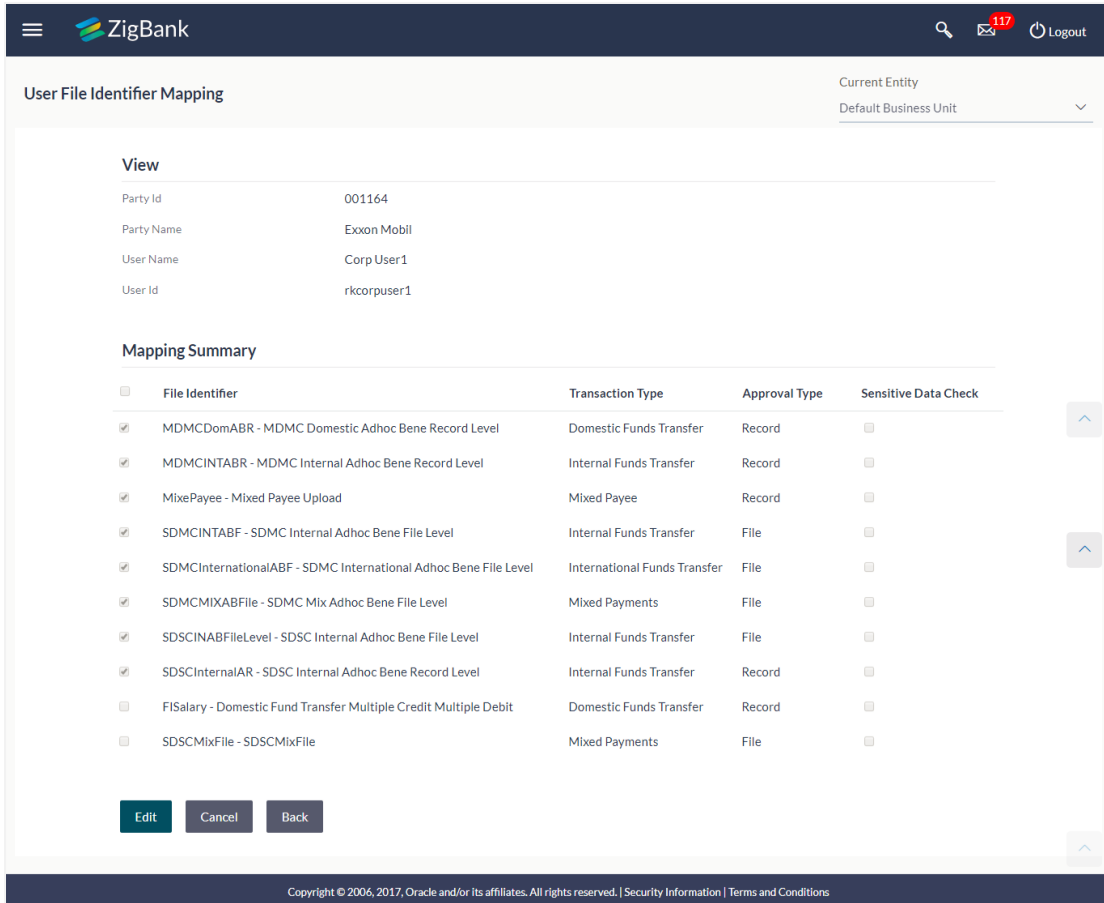
<b>Contact Details</b>	Email and contact number of the user.
------------------------	---------------------------------------

<b>Mapping</b>	Displays whether the file identifier is mapped to the user.
----------------	---

-  - denotes that the file identifier is mapped to the user
-  - denotes that the file identifier is not mapped to the user.

3. Click  against the file identifier record of which you want to view the details. The **User File Identifier Mapping - View** screen appears.  
 OR  
 Click **Back** to go back to previous screen.  
 OR  
 Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.

### User File Identifier Mapping - View



**User File Identifier Mapping**

Current Entity: Default Business Unit

**View**

Party Id	001164
Party Name	Exxon Mobil
User Name	Corp User1
User Id	rkcrcpuser1

**Mapping Summary**

<input type="checkbox"/>	File Identifier	Transaction Type	Approval Type	Sensitive Data Check
<input checked="" type="checkbox"/>	MDMCDomABR - MDMC Domestic Adhoc Bene Record Level	Domestic Funds Transfer	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	MDMCINTABR - MDMC Internal Adhoc Bene Record Level	Internal Funds Transfer	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	MixePayee - Mixed Payee Upload	Mixed Payee	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCINTABF - SDMC Internal Adhoc Bene File Level	Internal Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCInternationalABF - SDMC International Adhoc Bene File Level	International Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCMIXABFile - SDMC Mix Adhoc Bene File Level	Mixed Payments	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDSCINABFileLevel - SDSC Internal Adhoc Bene File Level	Internal Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDSCInternalAR - SDSC Internal Adhoc Bene Record Level	Internal Funds Transfer	Record	<input type="checkbox"/>
<input type="checkbox"/>	FISalary - Domestic Fund Transfer Multiple Credit Multiple Debit	Domestic Funds Transfer	Record	<input type="checkbox"/>
<input type="checkbox"/>	SDSCMixFile - SDSCMixFile	Mixed Payments	File	<input type="checkbox"/>

**Edit** **Cancel** **Back**

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### Field Description

Field Name	Description
------------	-------------

<b>Party ID</b>	Party ID of the user
-----------------	----------------------

<b>Party Name</b>	Party name corresponding to the party ID.
-------------------	---

<b>User Name</b>	User name of the logged-in user.
------------------	----------------------------------

<b>User Id</b>	User id of the user.
----------------	----------------------

### Mapping Summary


Field Name	Description
<b>File Identifier</b>	Unique code assigned to the uploaded file.
<b>Transaction Type</b>	Type of transaction: The Transaction type could be: <ul style="list-style-type: none"> <li>• Internal funds Transfer,</li> <li>• Domestic Funds Transfer,</li> <li>• International Funds Transfer,</li> <li>• Mixed Transfer</li> <li>• Internal / Domestic / International / Mixed Payees</li> </ul>
<b>Approval Type</b>	The approval type is at file level or record level. <ul style="list-style-type: none"> <li>• File Level - The approver accepts or rejects the entire file, and all records are either processed or rejected</li> <li>• Record Level - The approver could approve some records, and reject others. Payments are processed only for approved records</li> </ul>
<b>Sensitive Data Check</b>	If selected, the users are barred from viewing the contents of the file.

4. Click **Edit** to modify the user file identifier mapping.  
OR  
Click **Back** to navigate to the previous screen.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.

### 3.2.2 User File Identifier Mapping - Create

Using this option the administrator can map the file identifiers to a user.

#### To map a user to a file identifier:

1. In the **Party Id** field, enter the party id.  
OR  
In the **Party Name** field, enter the name of the party.
2. Click **Search**. The **User File Identifier Mapping** screen with search results appears.  
OR  
Click **Clear** to clear the search parameters.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
3. Click  against the file identifier record, for which you want to map the user. The **User File Identifier Mapping** screen appears.

## User File Identifier Mapping - Create

☰ ZigBank
🔍 176 Logout

User File Identifier Mapping

Current Entity  
 Default Business Unit ▼

**Edit**

Party Id	001164
Party Name	Exxon Mobil
User Name	Rahul Kamble
User Id	rccorpadmin1

**Mapping Summary**

<input checked="" type="checkbox"/>	File Identifier	Transaction Type	Approval Type	Sensitive Data Check
<input checked="" type="checkbox"/>	FISalary - Domestic Fund Transfer Multiple Credit Multiple Debit	Domestic Funds Transfer	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	MDMCDomABR - MDMC Domestic Adhoc Bene Record Level	Domestic Funds Transfer	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	MDMCINTABR - MDMC Internal Adhoc Bene Record Level	Internal Funds Transfer	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	MixPayee - Mixed Payee Upload	Mixed Payee	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCINTABF - SDMC Internal Adhoc Bene File Level	Internal Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCINTBUABF - SDMC Internal BU File Level	Internal Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCInternationalABF - SDMC International Adhoc Bene File Level	International Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCMIXABFile - SDMC Mix Adhoc Bene File Level	Mixed Payments	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDSCINABFileLevel - SDSC Internal Adhoc Bene File Level	Internal Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDSCInternalIAR - SDSC Internal Adhoc Bene Record Level	Internal Funds Transfer	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDSCMixFile - SDSCMixFile	Mixed Payments	File	<input type="checkbox"/>

Save
Cancel
Back

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## Field Description

Field Name	Description
------------	-------------

<b>Party ID</b>	Party ID of the user
-----------------	----------------------

<b>Party Name</b>	Party name corresponding to the party ID.
-------------------	---

<b>User Name</b>	User name.
------------------	------------

<b>User Id</b>	User id of the user.
----------------	----------------------

Mapping Summary
-----------------

<b>File Identifier</b>	Unique code assigned to the uploaded file.
------------------------	--

Field Name	Description
------------	-------------

<b>Transaction Type</b>	Type of transaction: The Transaction type could be: <ul style="list-style-type: none"> <li>• Internal funds Transfer,</li> <li>• Domestic Funds Transfer,</li> <li>• International Funds Transfer,</li> <li>• Mixed Transfer</li> <li>• Internal / Domestic / International / Mixed Payees</li> </ul>
<b>Approval Type</b>	The approval type is at file level or record level. <ul style="list-style-type: none"> <li>• File Level - The approver accepts or rejects the entire file, and all records are either processed or rejected</li> <li>• Record Level - The approver could approve some records, and rejects others. Payments are processed only for approved records</li> </ul>
<b>Sensitive Data Check</b>	If selected, the users are barred from viewing the contents of the file.


- In the **Mapping Summary** section, select the file identifier which you want to map to the user.
- Check / Uncheck the **Sensitive Data** check field, to enable / disable access to the contents of the file.
- Click **Save**.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.  
OR  
Click **Back** to navigate to the previous screen.
- The **User File Identifier Mapping - Review** screen appears. Verify the details and click **Confirm**.  
OR  
Click **Edit** to make the changes if any. User is directed to **User File Identifier Mapping – Create** screen with values in editable form.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
- The success message appears along with the transaction reference number and status of the transaction.  
Click **OK** to complete the transaction and navigate back to 'Dashboard'.



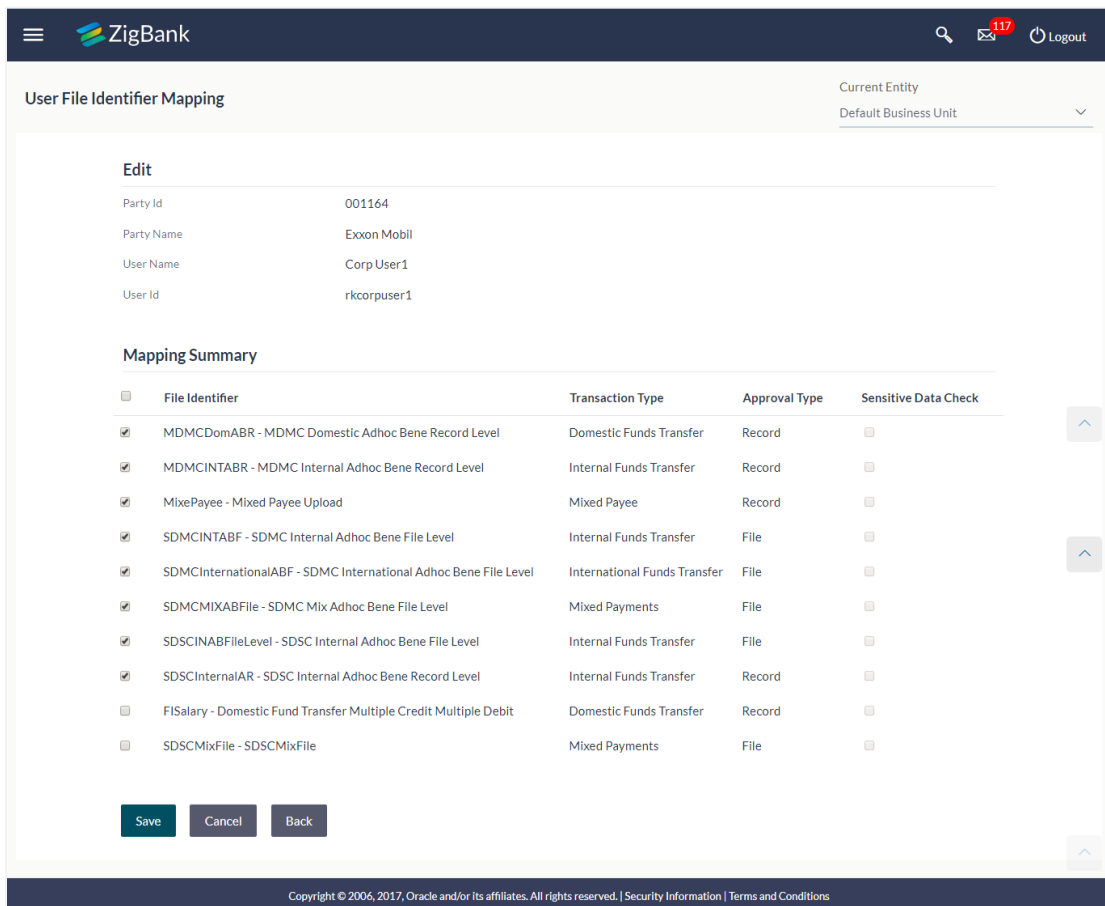
### 3.2.3 User File Identifier Mapping - Edit

Using this option the administrator can edit and update mapping of a file identifier, to a user

#### To Edit a User File Identifier Mapping:

1. In the **Party Id** field, enter the party id of the user.  
OR  
In the **Party Name** field, enter the name of the party.
2. Click **Search**. The **User File Identifier Mapping** screen with search results appears.  
OR  
Click **Clear** to clear the search parameters.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
3. Click  against the file identifier record. The **User File Identifier Mapping - View** screen appears.
4. Click **Edit**. The **User File Identifier Mapping - Edit** screen appears

#### User File Identifier Mapping - Edit



**Edit**

Party Id: 001164  
 Party Name: Exxon Mobil  
 User Name: Corp User1  
 User Id: rkcorpuser1

**Mapping Summary**

<input type="checkbox"/>	File Identifier	Transaction Type	Approval Type	Sensitive Data Check
<input checked="" type="checkbox"/>	MDMCDomABR - MDMC Domestic Adhoc Bene Record Level	Domestic Funds Transfer	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	MDMCINTABR - MDMC Internal Adhoc Bene Record Level	Internal Funds Transfer	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	MixePayee - Mixed Payee Upload	Mixed Payee	Record	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCINTABF - SDMC Internal Adhoc Bene File Level	Internal Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCInternationalABF - SDMC International Adhoc Bene File Level	International Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDMCMIXABFile - SDMC Mix Adhoc Bene File Level	Mixed Payments	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDSCINABFileLevel - SDSC Internal Adhoc Bene File Level	Internal Funds Transfer	File	<input type="checkbox"/>
<input checked="" type="checkbox"/>	SDSCInternalIAR - SDSC Internal Adhoc Bene Record Level	Internal Funds Transfer	Record	<input type="checkbox"/>
<input type="checkbox"/>	FISalary - Domestic Fund Transfer Multiple Credit Multiple Debit	Domestic Funds Transfer	Record	<input type="checkbox"/>
<input type="checkbox"/>	SDSCMixFile - SDSCMixFile	Mixed Payments	File	<input type="checkbox"/>

**Save** **Cancel** **Back**

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5. View the details of File Identifier mapping already saved. Select or de-select the File Identifier record to map / un-map a File Identifier to a user.

6. Check / Uncheck the Sensitive Data Check, to enable / disable the complete access to file content.
7. Click **Save** to save the modified details.  
OR  
Click **Back** to navigate to previous screen.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
8. The **User File Identifier Mapping - Review** screen appears. Verify the details, and click **Confirm**.  
OR  
Click **Edit** to make the changes if any.  
User is directed to **User File Identifier Mapping – Edit** screen with values in editable form.  
OR  
Click **Cancel** to cancel the operation and navigate back to 'Dashboard'.
9. The success message appears along with the transaction reference number and status of the transaction.  
Click **OK** to complete the transaction and navigate back to 'Dashboard'.

## **FAQs**

1. **Can all users of a particular corporate access all file types, mapped to the corporate?**  
  
User/s have to be mapped to file identifiers to be able to access files. For example, only the user/s of Human Resource Department of a corporate may have access to upload / view and enquire status of salary files.  
Mapping File Identifier's to specific users thus enables access of certain types of file/s to certain user/s.
  
2. **If a user is mapped to a File Identifier and he has uploaded a file – but after this, he is no longer mapped to the File Identifier – can he view the status of the file?**  
  
No, the user will not be able to view the status of the file, if he is not mapped to it, at the point in time when he is checking for the status.

[Home](#)

## 4. File Upload Servicing

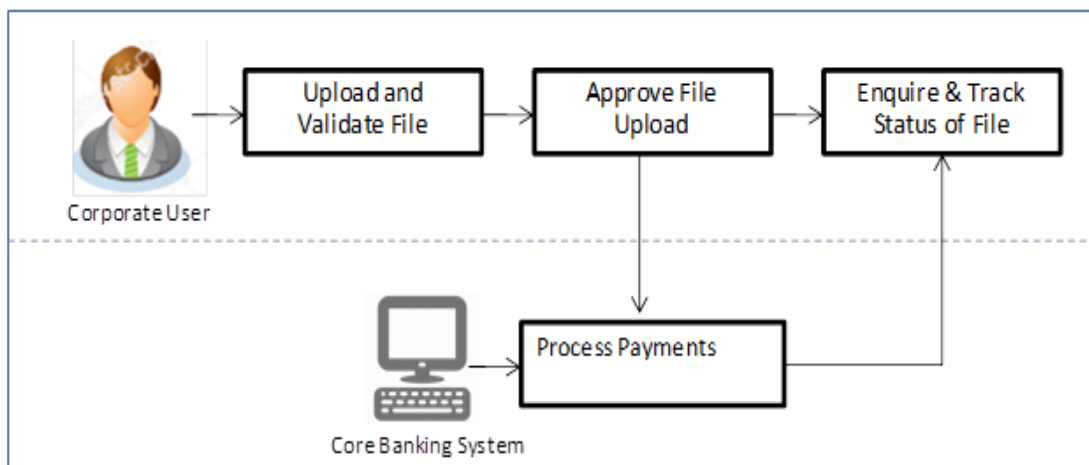
File Upload Servicing module allows a corporate user to upload files, approve the uploaded file and view its status.

### Prerequisites

- Party Preferences for Corporate
- User Creation
- Party and Account access
- Set up Transaction and account access
- Set up Approval Rules
- File Identifier Maintenance
- User – File Identifier Mapping

### Features Supported In Application

- Upload a File
- Approve a File (File Authorization)
- View Uploaded File & its Status (Uploaded File Inquiry)
- Access Error file (if any)
- Access Response File



## 4.1 File Upload

This option allows the corporate user to upload files containing multiple payments.

While files are managed entirely within the File Uploads module, the payments are queued in the Core Banking system, once submitted.

### How to reach here:

*Corporate Dashboard > Toggle Menu > File Upload > File Upload*  
OR

*Corporate Dashboard > Quick Links > File Upload*

### File Upload

### Field Description

Field Name	Description
<b>File Identifier</b>	File identifier created earlier in order to identify the file.
<b>File Name</b>	Browse and select the file to be uploaded.

### To upload a file:

1. From the **File Identifier** list, select the file identifier.  
The file identifier details appear.
2. In the **File Name** field, select the file to be uploaded.

## File Upload

## Field Description

Field Name	Description
<b>File Identifier</b>	File identifier created earlier in order to identify the file.
<b>Transaction Type</b>	Transaction type of the file upload. The transaction type could be: <ul style="list-style-type: none"> <li>• Internal Funds Transfer</li> <li>• Domestic Funds Transfer</li> <li>• International Funds Transfer</li> <li>• Mixed Transfers</li> <li>• Internal Payee</li> <li>• Domestic Payee</li> <li>• International Payee</li> <li>• Mixed Payee</li> </ul>
<b>File Format Type</b>	Format of the file. The file format could be: <ul style="list-style-type: none"> <li>• CSV</li> <li>• XML</li> <li>• XLS</li> <li>• XLSX</li> </ul>

Field Name	Description
<b>Approval Type</b>	Approval level of the file. The approval could be: <ul style="list-style-type: none"> <li>Record Level: In record type approval, the approver can approve some records (in a file), and reject others. Only approved records are processed.</li> <li>File Level: In a file type approval, the approver accepts or rejects the entire file, and all records are either processed or rejected.</li> </ul>
<b>Accounting Type</b>	Accounting type of the file.
<b>File Name</b>	Name of the file which is uploaded.

- Click **Upload**.  
OR  
Click **Cancel** to abort the file uploading process.
- The success message along with the file reference ID and status of the transaction appears.  
Click **OK** to complete the file upload.  
OR  
Click the **File Reference ID** to inquire about the uploaded file status.  
The Uploaded File Inquiry screen appears.

## **FAQs**

### **1. What are the different file formats that can be uploaded?**

The file upload formats supported are:

CSV

XML

XLS

XLSX

### **2. Can a file upload fail, before generating a File Reference Number?**

Yes, system performs validations on the uploaded file before generating a file reference number. If one or more validations fail – the error message will be displayed on the screen and the file reference number will not be generated. Validations include a check for maximum size, that the file is not malicious in nature; that the file is not a duplicate file, that it has the correct extension, that it is not empty etc.

## 4.2 Uploaded Files Inquiry

Through this option the user can view the files uploaded by the corporate user (only those files that the user has access to) and their status.

- The search can be filtered on various parameters like status and file reference ID.
- The user can track the status of the file and if there is an error in the file, he / she can download the error file to arrive at the exact reason for error.
- For files in the 'Processed' status, the user can download Response file, to vet status of processing (in the host) for each record, of the file.
- The user can track file history and also check Individual record details.

---

**Note:** The landing screen displays all the files that are uploaded today.

---

### How to reach here:

Corporate Dashboard > Toggle Menu > File Upload > Uploaded File Inquiry  
OR

Corporate Dashboard > Quick Links > Uploaded File Inquiry

### Uploaded File Inquiry

**Uploaded Files Inquiry**

Today's Files

Upload Details	Type	File Identifier	File Name	File Reference Id	File Status	Action
10 Nov 10:30 AM	Internal Funds Transfer	SDMCINTBUABF-SDMC Internal BU File Level	SDMCINTBU.txt	101184935631	Approved	
10 Nov 9:55 AM	Domestic Funds Transfer	MDMCDomABR-MDMC Domestic Adhoc Bene Record Level	MDMC.txt	101170494680	Error	
10 Nov 9:37 AM	Internal Funds Transfer	SDMCINTBUABF-SDMC Internal BU File Level	SDMCINTBU.txt	101123039429	Approved	
10 Nov 9:37 AM	Internal Funds Transfer	SDMCINTBUABF-SDMC Internal BU File Level	SDMCINTBU.txt	101114008025	Error	
10 Nov 9:20 AM	Internal Funds Transfer	MDMCINTABR-MDMC Internal Adhoc Bene Record Level	MDMC.txt	101187172132	Error	

Page 1 of 1 (1-5 of 5 Items) | < 1 >

[Cancel](#)

**File Status**


- **Uploaded** : File has been uploaded and file reference number is generated.
- **Approved** : File has been approved.
- **Rejected** : File has been rejected.
- **Processing In Progress** : File is pending for liquidation.
- **Error** : File has been pre-processed and contains error.
- **Processed** : File is liquidated.
- **Processed with Exceptions** : File is processed but some of the records are in error.
- **Deleted** : File has been deleted.
- **Verified** : File has been pre-processed and authorization checks are done (limit + account access check).
- **Expired** :

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#### 4.2.1 Uploaded File Inquiry – Search

Using this option, corporate users can search and view the files that are uploaded under a party with the future date with file type as SDSC, SDMC, and MDMC and view the record details under the same.

##### To search and view uploaded files

1. Click  to expand the search criteria.  
The search section appears.
2. Enter any two search criteria in the search section.
3. Click **Search**. The search results appear on the **Uploaded File Inquiry** screen based on the search parameters.  
OR  
Click **Clear** to reset the search criteria.  
OR  
Click **Cancel** to close the search panel.



## Uploaded File Inquiry - Search

☰ ZigBank
🔍 433 🚪 Logout

### Uploaded Files Inquiry

Search 🔍

File Identifier Select File Identifier ▾

Transaction Type Select Transaction Type ▾ File Name \_\_\_\_\_

File Reference Id \_\_\_\_\_ File Status Select File Status ▾

From Date 10 Nov 2017 📅 To Date 10 Nov 2017 📅

Search
Cancel
Clear

#### Today's Files

Upload Details	Type	File Identifier	File Name	File Reference Id	File Status	Action
10 Nov 9:55 AM	Domestic Funds Transfer	MDMCDomABR-MDMC Domestic Adhoc Bene Record Level	MDMC.txt	101170494680	Error	🗑️
10 Nov 9:37 AM	Internal Funds Transfer	SDMCINTBUABF-SDMC Internal BU File Level	SDMCINTBU.txt	101123039429	Approved	🗑️
10 Nov 9:37 AM	Internal Funds Transfer	SDMCINTBUABF-SDMC Internal BU File Level	SDMCINTBU.txt	101114008025	Error	🗑️
10 Nov 9:20 AM	Internal Funds Transfer	MDMCINTABR-MDMC Internal Adhoc Bene Record Level	MDMC.txt	101187172132	Error	🗑️

Page 1 of 1 (1-4 of 4 items) ⏪ < 1 > ⏩

Cancel

**File Status**


- **Uploaded** : File has been uploaded and file reference number is generated.
- **Processing In Progress** : File is pending for liquidation.
- **Approved** : File has been approved.
- **Rejected** : File has been rejected.
- **Error** : File has been pre-processed and contains error.
- **Processed** : File is liquidated.
- **Processed with Exceptions** : File is processed but some of the records are in error.
- **Deleted** : File has been deleted.
- **Verified** : File has been pre-processed and authorization checks are done (limit + account access check).
- **Expired** :

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## Field Description

Field Name	Description
<b>Search</b>	
<b>File Identifier</b>	Unique code/ name assigned for the party preferences for handling of files.
<b>Transaction Type</b>	Transaction type associated with the file.
<b>File Name</b>	File name of the uploaded file.

<b>Field Name</b>	<b>Description</b>
<b>File Reference ID</b>	The file reference number which was generated while uploading the file.
<b>File Status</b>	Status of the file uploads.
<b>From Date</b>	From Date, to search for an uploaded file, in the specified date range.
<b>To Date</b>	To Date, to search for an uploaded file, in the specified date range.
<b>Search Results</b>	
<b>Upload Details</b>	File upload date and time.
<b>Type</b>	Transaction type of file uploaded
<b>File Identifier</b>	File identifier selected while uploading the file.
<b>File Name</b>	Name of the uploaded file.
<b>File Reference ID</b>	The file reference number generated after the file was uploaded.
<b>File Status</b>	<p>Status of the uploaded file.</p> <p>The file status could be:</p> <ul style="list-style-type: none"> <li>• Uploaded: File Uploaded and file reference number is generated.</li> <li>• Verified: File has been pre-processed and authorization checks done (limit + account access check). File is now Pending Approval.</li> <li>• Error: File has been pre-processed and contains error. The end of the life cycle of the file (File Level).The user can download the error file at this stage.</li> <li>• Processing in Progress: is not yet liquidated.</li> <li>• Rejected: File has been rejected (File level). The end of the life cycle of the file.</li> <li>• Approved: File has been fully approved.</li> <li>• Processed: File is completely liquidated. The user can download a response file at this stage.</li> <li>• Processed with exception: File is partially liquidated – i.e. while some records are processed, others are not.</li> <li>• Expired: File has expired.</li> <li>• Deleted: File was deleted.</li> </ul>

- Click the **File Reference ID** link to view the details. The **Uploaded File Inquiry - File Details** screen appears.  
OR  
Click  against a specific file upload record to delete the record. A delete icon will be shown against a record, only when a record is of a future date and is fully approved.

### File Details

**File Details**

File Name: SDMCINTBU.txt      Transaction Type: Internal Funds Transfer

File Reference Id: 081157427045      Number of Records: 6

File Status: Approved

**File Workflow**

Uploaded → Verified (08 Nov 12:48:18, Verified(s), In Error(0)) → **Approved (08 Nov 12:50:00, Pending(0), Approved(s), Rejected(0), Error(0))** → Processing In Progress (Process(s), Error(0)) → Processed (Completed(s), Deleted(0), Failed(0))

**Record List**

Value Date	Debit Account No	Amount	Credit Account Details	Type	Record Status	Action
02 Jan 2014	AT30011640014	£24.27	McMohan AT30011600020	Internal Funds Transfer	Completed	<a href="#">E-Receipt</a>
02 Jan 2014	AT30011640014	£23.32	McMohan AT30011600042	Internal Funds Transfer	Completed	<a href="#">E-Receipt</a>
02 Jan 2014	AT30011640014	£22.27	McMohan AT30011600020	Internal Funds Transfer	Completed	<a href="#">E-Receipt</a>
02 Jan 2014	AT30011640014	£23.32	McMohan AT30011600042	Internal Funds Transfer	Completed	<a href="#">E-Receipt</a>
02 Jan 2014	AT30011640014	£24.27	McMohan AT30011600020	Internal Funds Transfer	Completed	<a href="#">E-Receipt</a>
02 Jan 2014	AT30011640014	£23.21	McMohan AT30011600042	Internal Funds Transfer	Completed	<a href="#">E-Receipt</a>

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### Field Description

Field Name	Description
<b>File Name</b>	File name of the uploaded file.
<b>Transaction Type</b>	Transaction type associated with the file.
<b>File Reference ID</b>	The file reference number, which was generated while uploading the file.

Field Name	Description
<b>Number of Records</b>	The total number of records in the file.
<b>File Status</b>	Status of the file uploads.
<b>File Workflow</b>	Flow displaying various stages and status of file upload.
<b>Record List</b>	
<b>Value Date</b>	The date on which the file was uploaded.
<b>Debit Account No</b>	Debit account number of the transaction.
<b>Amount</b>	Transaction amount.
<b>Credit Account Details</b>	Credit account details.
<b>Type</b>	Transaction type of file uploaded
<b>Record Status</b>	Status of the records of the uploaded file.
<b>Action</b>	Icon to download the e-receipt.

- In the **File Name** field, click  to download the originally uploaded file.  
In the **Response File Download** field click  to download the response file.
- Click **Back** to navigate to the previous screen.

---

**Note:** If there is an error during file verification (i.e. the file is in error status), an option will be available to download the generated error file.

---

## **FAQs**

### **1. What are some of the validations that a file goes through at various stages, in its life cycle?**

The following are the validations performed on an uploaded file by OBDX and subsequently by the Host, before file is liquidated.

<b>Sr No</b>	<b>Events</b>	<b>Applicable to</b>	<b>Checks</b>
1	On File Upload	All Files	File contents should not match an already uploaded file
2	On File Upload	All Files	File should not exceed the Maximum Size limit
3	On File Upload	All Files	The File Extension type should be the ones permitted viz., xml, xls, xlxs, csv
4	On File Upload	All Files	The file should not be Malicious
5	At Pre-Processing	All Files	The format for all fields, should be as templated viz., Date, Currency in accordance with ISO standards, CIF- numeric, account number-alphanumeric etc.
6	At Pre-Processing	All Files	The CIF should be valid, should exist
7	File At Pre-Processing	All Files	CIF and Debit account should belong to each other
8	At Pre-Processing	All Files	User should have access to Debit Account
9	At Pre-Processing	All Files	Debit account should not be in closed status
10	At Pre-Processing	All Files	Transaction Limits are not violated at user level
11	At Pre-Processing	All Files	Payment date should not be in the past
12	At Pre-Processing	All Files	Payment date should not be a holiday as per the host calendar maintenance
13	At Pre-Processing	All Files	Debit account should be a CASA account, not loan or TD
14	At Pre-Processing	All Files	Debit currency in the file, should match the currency of the CASA account
15	At Pre-Processing	Internal Files	Transaction currency should match either the debit or credit CASA

Sr No	Events	Applicable to	Checks
16	At Pre-Processing	Internal Files	The Credit Account should be a CASA account, not loan or TD
17	At Pre-Processing	All SDSC and SDMC files	A file with multiple records, should have the same debit account
18	At Pre-Processing	Internal Ad hoc	The Purpose of remittance should be valid
19	At Pre-Processing	Domestic Files	The NEFT / RTGS code should be valid
20	At Approval	All Files	Cumulative limits should not be violated either for the Approver and the Party
21	Validations in Core	All Files	The Debit account should have sufficient balance
22	Validations in Core	All Files	Debit account should not be in dormant status
23	Validations in Core	All Files	Debit account should not be in debit block status
24	Validations in Core	Internal Files	The Credit CASA account should not be closed
25	Validations in Core	Internal Files	There should not be a Credit Block on the CASA account
26	Validations in Core	International Files	The BIC / SWIFT code should be valid, as per the BIC / Clearing directory as maintained in the host system

**2. If some records in a file are liquidated, others are deleted, what will the status of the file be?**

The file status if some records are liquidated, and others deleted will be 'Processed'. For more such combinations – refer to the table below:

Verified	Approved	Processing in Progress	Liquidated	Rejected	Deleted	Error	File Status
All							Verified
	All						Approved
		All					Processing in Progress
			All				Processed

Verified	Approved	Processing in Progress	Liquidated	Rejected	Deleted	Error	File Status
				All			Rejected
					All		Deleted
						All	Error
			1	1			Processed
			1		1		Processed
			1			1	Processed with exception
			1	1	1		Processed
			1	1	1	1	Processed with exception
				1	1		Deleted
				1		1	Processed with exception
					1	1	Processed with exception

**3. If a payment file is in the approved status, does it mean that all the records are successfully liquidated?**

No, the file still has to successfully pass validations in the host system, before records are processed.

**4. Can a user delete the entire file or deletion of only individual records within a file is allowed?**

Whether only records can be deleted or the entire file depends on the accounting type of the file, and the approval type (Record Level or File level)

The table below throws light on the combinations allowed

Sr No	Accounting Type	Authorization Type	File / Record Deletion allowed?
1	SDMC	File Level	The entire file, as well as individual records can be deleted.
2	SDSC	File Level	The entire file, as well as individual records can be deleted.
3	SDSC	Record Level	Only records can be deleted, and not the entire file

Sr No	Accounting Type	Authorization Type	File / Record Deletion allowed?
4	MDMC	Record Level	Only records can be deleted, and not the entire file



**5. If a working window is set for the File Upload transaction – how will processing be impacted outside of the working window?**

Outside of the transaction working window set for file uploads, processing will depend on whether the file has a Record Level approval or a File Type approval.

Files with a File Type approval – will be rejected, outside of the transaction working window  
Files with Record Type approval – if some records are processed within the working window, will be completed – if processing of some records, falls outside of the working window – these will be rejected.

**6. What is the impact of limits on processing of File Upload transactions?**

File uploads transaction, will utilize limits depending on if the transfer is an internal, domestic, or international funds transfer. Further, for domestic funds transfer – limits are defined for each network – NEFT, RTGS and IMPS. Limits will be checked at the pre-processing's stage for file uploads.

**7. Does this functionality solely cater to bulk payment transactions?**

No, File uploads is used for bulk payment transactions and to add multiple payees. Internal, domestic or international payees can be added through the file upload functionality.

**8. After a file is successfully uploaded, is the user provided notifications on its status?**

Yes, Users mapped to the FI – initiators and approvers of the file, are provided with alerts / notification, as file progresses from the Uploaded stage to Approved to Processing in Progress to the Processed stage. Alternately, users can log in to view the status of the file.

## 4.3 File Approval

This option allows the approver to approve / reject the uploaded file. File approval could be either

- File Type
- Record Type

In a File type Approval, the approver accepts or rejects the entire file, and all records are either processed or rejected. While in a Record type approval, the approver could approve some records, and reject others. Only the approved records are processed further.

### How to reach here:

*Approver Dashboard > Pending for Approvals*

### 4.3.1 File Approval

Once a file is uploaded and pre-processing checks are successfully completed, the file is pending approval, and is in the respective Approver's queue.

#### To approve / reject a file:

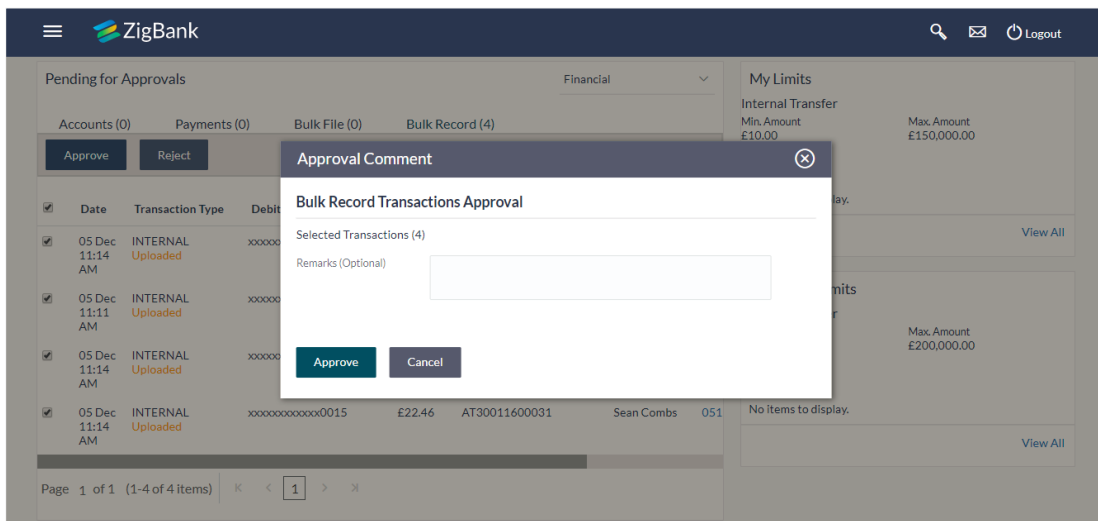
1. In the **Pending for Approval** section, click the **Bulk File** tab. All the uploaded files that require approval appears.
2. Select the multiple files, and Click **Approve** to approve the transactions.  
OR  
Click the link under the **Reference No** column. The **File Details** screen appears.

#### Bulk File Approve / Reject

<input type="checkbox"/>	Date	Description	Transaction Type	File Name	File Amount	Initiated By	Reference No
<input type="checkbox"/>	13 Dec 7:01 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.xls	£104.92	corp init	131278032035
<input type="checkbox"/>	13 Dec 5:34 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.txt	£54.91	corp init	131215656988
<input type="checkbox"/>	13 Dec 7:10 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.xls	£94.92	corp init	131255122623
<input type="checkbox"/>	13 Dec 7:12 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.xls	£54.92	corp init	131294837620
<input type="checkbox"/>	14 Dec 12:32 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.txt	£54.92	corp init	141259508058
<input type="checkbox"/>	14 Dec 12:48 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.xls	£48.93	corp init	141251863255

3. If you click **Approve**, the **Financial / Non-Financial Transaction Approval** screen appears.

### Bulk File Approve / Reject – Remarks



- a. Enter the remarks for approval. Click **Approve**.  
Transaction successfully approved message appears.  
OR
4. If you click **Reject**. The **Financial / Non-Financial Transaction Approval** screen appears.
  - a. Enter the remarks for rejection. Click **Reject**.  
Transaction rejected message appears.

### 4.3.2 Record Level Approval

In record level approval, approver can approve individual records/ transactions within the uploaded file.

#### To approve / reject a record in file:

1. In the **Pending for Approval** section, click the **Bulk Record** tab. All the uploaded files that require approval appears.
2. Select a file that is to be approved.  
The **Record Approval** screen appears.  
OR  
Click the link under the **Reference No** column. The **File Details** screen appears.

## Bulk Record Approve / Reject

Pending for Approvals Financial v

Accounts (0)    Payments (0)    **Bulk File (10)**    Bulk Record (10)

**Approve**    **Reject**

<input type="checkbox"/>	Date	Description	Transaction Type	File Name	File Amount	Initiated By	Reference No
<input checked="" type="checkbox"/>	13 Dec 7:01 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.xls	£104.92	corp init	<a href="#">131278032035</a>
<input type="checkbox"/>	13 Dec 5:34 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.txt	£54.91	corp init	<a href="#">131215656988</a>
<input type="checkbox"/>	13 Dec 7:10 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.xls	£94.92	corp init	<a href="#">131255122623</a>
<input type="checkbox"/>	13 Dec 7:12 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.xls	£54.92	corp init	<a href="#">131294837620</a>
<input type="checkbox"/>	14 Dec 12:32 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.txt	£54.92	corp init	<a href="#">141259508058</a>
<input type="checkbox"/>	14 Dec 12:48 PM	SDMC Mix Adhoc Bene File Level	MIXED Uploaded	SDMCInDME1.xls	£48.93	corp init	<a href="#">141251863255</a>

3. Click **Approve** to approve the transaction.  
The **Financial / Non Financial Transaction Approval** screen appears.
  - a. Enter the remarks for approval. Click **Approve**.  
Transaction successfully approved message appears.  
OR
4. Click **Reject** to reject the transaction.  
The **Financial / Non Financial Transaction Approval** screen appears.
  - a. Enter the remarks for rejection. Click **Reject**.  
Transaction rejected message appears.

---

**Note:** To approve / reject bulk records, select multiple check boxes, and then click approve / reject.

---

### Record Approval - File Details

ZigBank 617 ? Logout

**Bulk Record Approval**

Approve Reject

File Name	MDMCDMABRXML.xml	Purpose Of Remittance	PENS
Record Ref No	190636168651000001	Record Status	Verified
Transaction Reference Id	1906F2F1F622		

**Debit Details**




Debit Party Id	000774	Debit Account Currency	GBP
Debit Account No	AT30007740055	Debit Account Branch	AT3
Debit Narrative	DRdomestic01		

**Credit Details**

Payee Name	Roose	Value Date	03 Feb 2014
Credit Account No	56848UIDUTY11		
Payment Amount	459.81	Payment Currency	INR
Payment Mode	NEFT	Clearing Code	HDFC0000017
Credit Narrative	CRdomestic01		

**Transaction Journey**

Initiate Approve Process

Prateek Gupta  
19 Jun 03:29 PM

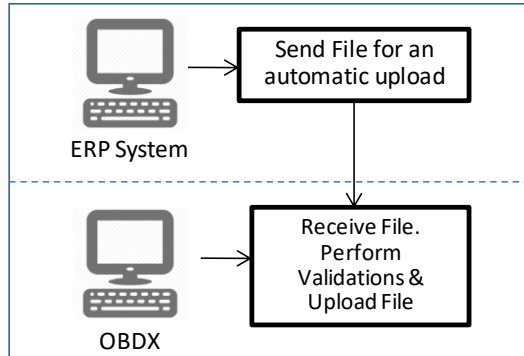
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## 5. Server to Server Functionality

The Server to Server functionality available for Corporate Users allows Corporate Customers, with File Uploads functionality, to integrate their ERP Systems with Bank for processing bulk files.

### Workflow



### Prerequisites

- The corporate customers have to integrate their ERP systems with OBDX for Bulk Processing of payment files.
- The corporate user has access to the transaction and account, and is mapped to the File Identifier (FI)

### Functionality

1. Run the utility (JAR file) – which is either part of ERP system or the interface between ERP system & OBDX
2. The components of the JAR file include –
  - a. Username and password of the corporate user with File Upload access
  - b. File Identifier of the file to be uploaded
  - c. Path of the file (location of the file where it is saved)
3. OBDX performs validations on the incoming file & throws errors viz.,
  - a. Incorrect Username and password
  - b. File not found
  - c. FI not mapped to the user
4. If JAR file passes validations – the file is run through checks to see if it is fit for upload
5. If the file is not malicious, is in the file format supported, within the permissible size limit, is not empty etc., It is uploaded on OBDX
6. File Reference Number is generated.
7. Track the status, progress and completion of file as usual.

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